

# Matrix divisors and Chevalley groups

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In honour of Nikolai Vavilov, on occasion of his 70th birthday

# Matrix divisors

A.Weil, 1938; A.Grothendieck, 1957; A.Tyurin, 1964-66

## Set-up:

Riemann surface  $\Sigma$ , semisimple Lie algebra  $\mathfrak{g}/\mathbb{C}$ , a faithful  $\mathfrak{g}$ -module  $V$ , the corresponding Chevalley group  $G = G((z))$  over  $\mathbb{C}((z))$ .

( $\mathbb{C}((\cdot))$ -Laurent expansions,  $\mathbb{C}[[\cdot]]$ -Taylor expansions),  $\mathbb{C}(\cdot)$  - rational functions)

Given a covering  $\mathcal{U} = \{U\}$  of  $\Sigma$ , a Čech 0-cochain with coeffs in  $G((z))$  is a correspondence  $U \rightarrow A_U$ ,  $A_U \in G((z))$  being a Laurent expansion centered at a unique point  $\gamma \in U$ .

Two cochains  $A$  and  $B$  are equivalent ( $A \sim B$ ) if  $\exists C$  – a cochain with coeffs in  $G[[z]]$  s.t.  $A = CB$ .

Matrix divisors = coChains /  $\sim$

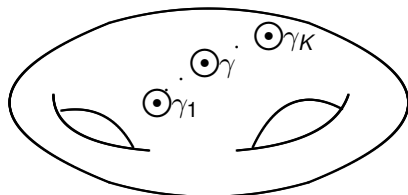
# Matrix divisors and holomorphic vector bundles

Given a covering  $\Sigma \subset (\bigcup_{\gamma \in \Gamma} U_\gamma) \cup U_\infty$ , take a Čech 0-cochain  $A = \{A_\gamma (\gamma \in \Gamma), A_\infty\}$  with coeffs. in  $G((z))$ . Then the cocycle

$$\partial A|_{U_\gamma \cap U_\infty} = A_\gamma A_\infty^{-1}$$

gives a holomorphic vector bundle with the fiber  $V$ .

Vice versa, given a holomorphic vector bundle, its gluing functions always split as  $g_{\gamma, \infty} = A_\gamma A_\infty^{-1}$  where  $A_\gamma$  can be continued meromorphically into  $U_\gamma$ , and  $A_\infty$  to  $U_\infty$ , thus giving a cochain.



# Canonical form of a matrix divisor

$z$  – local parameter on  $\Sigma$ ,  $h \in L_V^*$

$z^h \in \text{End}(V) : z^h v = z^{\mu(h)} v$  for  $v \in V_\mu$  (i.e.  $z^h$  – diagonal matrix)

Fact: max torus  $T \subset G$  consists of  $z^h$ , s.t.  $h \in L_V^*$ .

Def. The chamber  $A_+ \subset T$  is generated by  $z^h$ ,  
 $h \in L_V^* \cap \text{Weil chamber}$

## THEOREM (ON ELEMENTARY DIVISORS):

- 1)  $G = KA_+K$  where  $K = G[[z]]$  (Cartan decomposition);
- 2)  $A_+$ -component of the decomposition is defined up to  $T \cap K$ .

Corollary: up to equivalence  $\Psi_\gamma = z^{h_\gamma} K_\gamma$  ( $K_\gamma \in K$ ).

$h_\gamma, \gamma \in \Gamma$  – discrete invariants of the matrix divisor,  
 $K_\gamma, \gamma \in \Gamma$  give moduli.

# Classification problem

Given a divisor  $\Psi$  its section is a local meromorphic  $V$ -valued function  $f$  s.t.  $\Psi f$  is holomorphic in the domain of definition.

Problem: classify matrix divisors with the same discrete invariants  $\Gamma, h$  and the same sheaf of sections

**THEOREM:** 1° The moduli space of matrix divisors is a homogeneous space  $\mathcal{M}_{\Gamma, h} = \underbrace{K \times \dots \times K}_{|\Gamma| \text{ times}} / \prod_{\gamma \in \Gamma} K_{\gamma}^0$  where

$K_{\gamma}^0 \subset K$  is the stationary subgroup of the divisor  $z^{h_{\gamma}}$ .

2° For its tangent space at the unit we have

$$T_e \mathcal{M}_{\Gamma, h} = \bigoplus_{\gamma \in \Gamma, \alpha \in \mathbb{R}^+ : \alpha(h_{\gamma}) > 0} \left( \mathbb{C}[[z]] / z^{\alpha(h_{\gamma})} \mathbb{C}[[z]] \right) x_{\alpha}.$$

## Dimension of a moduli space

Let  $\mathcal{N}_\gamma$  be a number of parameters at a  $\gamma \in \Gamma$ . It follows by previous theorem that

$$\mathcal{N}_\gamma = \sum_{\alpha \in R^+ : \alpha(h_\gamma) > 0} \alpha(h_\gamma) = \sum_{s > 0} s \dim \mathfrak{g}_s^\gamma$$

where  $\mathfrak{g}_s = \{X \in \mathfrak{g} \mid (\text{ad } h_\gamma)X = sX\}$ . Below we assume  $h_\gamma$  to be dual to a simple root, say  $\alpha_\gamma$ , and  $(\alpha : \alpha_\gamma)$  to denote multiplicity of  $\alpha_\gamma$  in a root  $\alpha$ . Then

$$\mathcal{N}_\gamma = \sum_{\alpha > 0} (\alpha : \alpha_\gamma).$$

Regard to  $\gamma \in \Gamma$  as to free parameters, and identify matrix divisors related by common conjugation by a constant element of  $G$ . Denote by  $\mathcal{M}_h$  the corresponding moduli space. Then

$$\dim \mathcal{M}_h = \sum_{\gamma \in \Gamma} (1 + \mathcal{N}_\gamma) - \dim \mathfrak{g}.$$

## When $\dim \mathcal{M}_h = (\dim \mathfrak{g})(g - 1)$ ?

Assume,  $h_\gamma$  is independent of  $\gamma$ . Then it also holds for  $\mathcal{N}_\gamma$ :

$$\mathcal{N}_\gamma = \mathcal{N}, \quad \forall \gamma \in \Gamma.$$

It follows that  $\dim \mathcal{M}_h = (1 + \mathcal{N})|\Gamma| - \dim \mathfrak{g}$ , hence we need

$$(1 + \mathcal{N})|\Gamma| = (\dim \mathfrak{g})g.$$

Since  $\mathfrak{g}$  and the Riemann surf. are absolutely independent, generically  $1 + \mathcal{N}$  is not divisible by  $g$ . Assume  $\exists r \in \mathbb{Z}_+$  s.t.  $|\Gamma| = rg$ . Then

$$(1 + \mathcal{N})r = \dim \mathfrak{g}.$$

The only general reason for the last is that

$$r = \text{rank } \mathfrak{g}, \quad \mathcal{N} = \text{Coxeter number}.$$

Our question reads now: When  $\mathcal{N} = \text{Coxeter number}$  ?

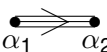
# How to calculate $\mathcal{N}$ ? (examples)

$$A_{n-1}: \quad \bullet \text{---} \bullet \text{---} \bullet \text{---} \dots \text{---} \bullet \text{---} \bullet \text{---} \bullet$$

$\alpha_1 \qquad \alpha_2 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \alpha_{n-1} \qquad \alpha_n$

Positive roots:  $\alpha_i + \dots + \alpha_j$  ( $1 \leq i < j \leq n$ ).

Roots of height 1 in  $\alpha_1$ :  $\alpha_1 + \dots + \alpha_j$  ( $1 < j \leq n$ ). Hence  $\dim \mathfrak{g}_1 = n - 1$ ,  $\mathfrak{g}_2$  is absent.  $\boxed{\mathcal{N} = \dim \mathfrak{g}_1 = n - 1}$ .

$G_2$ :  , grading by multiplicity of  $\alpha_2$

Positive roots:  $\alpha_1, \underbrace{\alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2}_{\mathfrak{g}_1}, \underbrace{3\alpha_1 + 2\alpha_2}_{\mathfrak{g}_2}$

$\dim \mathfrak{g}_1 = 4, \dim \mathfrak{g}_2 = 1, \boxed{\mathcal{N} = \dim \mathfrak{g}_1 + 2 \dim \mathfrak{g}_2 = 6}$ .



# Relation between the number of parameters at a point and the Coxeter number for classical Lie algebras

	$\dim \mathfrak{g}_1$	$\dim \mathfrak{g}_2$	$\dim \mathfrak{g}_1 + 2 \dim \mathfrak{g}_2$	Coxeter number
$A_{n-1}(\mathfrak{gl}(n))$	$n - 1$	0	$n - 1$	–
$A_{n-1}(\mathfrak{sl}(n))$	$n - 1$	0	$n - 1$	$n$
$B_n$	$2n - 1$	0	$2n - 1$	$2n$
$C_n$	$2n - 2$	1	$2n$	$2n$
$D_n$	$2n - 2$	0	$2n - 2$	$2n - 2$
$G_2$	4	1	6	6

- Comments: 1)  $\mathcal{N} = \dim \mathfrak{g}_1 + \dim \mathfrak{g}_2$  in all lines;  
 2)  $h$  is dual to the shortest terminal root  $\alpha_1$  of the Dynkin diagr.;  
 3)  $\mathcal{N} =$  Coxeter number for  $A_{n-1}(\mathfrak{gl}(n))$ ,  $C_n$ ,  $D_n$ ,  $G_2$ , and does not hold for  $A_{n-1}(\mathfrak{sl}(n))$ ,  $B_n$ .

# Conformal extensions

$G$  – a simple Lie group/ $\mathbb{C}$ ,  $\mathcal{Z}$  is its center.

$$CG := G \otimes_{\mathcal{Z}} \mathbb{C}^*$$

Let  $\mathfrak{g} = \text{Lie}(G)$ ,  $\tilde{\mathfrak{g}} = \text{Lie}(CG)$ , then

$$\tilde{\mathfrak{g}} = \mathfrak{g} \otimes 1 \oplus 1 \otimes \mathbb{C} \simeq \mathfrak{g} \oplus \mathbb{C}.$$

$G$	$\mathcal{Z}$
$SL(n)$	$\mathbb{Z}_n$
$SO(2n+1)$	$\mathbb{Z}_2$
$Sp(2n)$	$\mathbb{Z}_2$
$SO(2n)$	$\mathbb{Z}_2$

Grading:  $h$  operates on  $\tilde{\mathfrak{g}}$  as  $\text{ad } h \otimes 1$  (on  $\mathfrak{g} \otimes 1$  only).

Hence

$$1 \otimes \mathbb{C} \subset \tilde{\mathfrak{g}}_1.$$

Natural  $CG$ -module:  $V \otimes V_1$  where  $V$  is the standard  $G$ -module,  $V_1 \simeq \mathbb{C}$ ,  $(\mathfrak{g} \otimes \lambda)(v \otimes v_1) = \mathfrak{g}v \otimes \lambda^{|\mathcal{Z}|} v_1$ .

# Relation between the number of parameters at a point and the Coxeter number for conformal extensions

	$\tilde{\mathfrak{g}}_1$	$\dim \tilde{\mathfrak{g}}_1$	$\dim \mathfrak{g}_2$	$\dim \tilde{\mathfrak{g}}_1 + 2 \dim \mathfrak{g}_2$	Coxeter number
$A_{n-1}$	$\mathfrak{g}_1 \oplus \mathbb{C}$	$n$	0	$n$	$n$
$B_n$	$\mathfrak{g}_1 \oplus \mathbb{C}$	$2n$	0	$2n$	$2n$
$C_n$	$\mathfrak{g}_1$	$2n - 2$	1	$2n$	$2n$
$D_n$	$\mathfrak{g}_1$	$2n - 2$	0	$2n - 2$	$2n - 2$
$G_2$	$\mathfrak{g}_1$	4	1	6	6

Comments: 1) conformal extensions are considered for  $A_{n-1}$  and  $B_n$  only;  
2) By  $A_{n-1}$  we mean  $\mathfrak{sl}(n)$  here;

# Questions

- Do conformal extensions appear as a particular case of some general mathematical construction?
- What could be a general reason for the relation

$$\sum_s s \dim \mathfrak{g}_s = \text{Coxeter number} \quad ?$$

- What do the above considered matrix divisors have in common? Why do we need a conformal extension in some cases, and do not in the others, in order to obtain the "correct" dimension?

Conjecture (speculation): the above matrix divisors are distinguished by the property that the corresponding holomorphic vector bundles are stable.

# Matrix divisors and flag configurations

Recall, given a matrix divisor  $\Psi$ , by its **section** we mean a meromorphic  $V$ -valued function  $f$  on  $U$  s.t.  $\Psi f$  is holomorphic in a neighborhood of any  $\gamma \in \Gamma \cap U$ .

For any  $\gamma$  and a local coordinate  $z : z(\gamma) = 0$  let

$$f(z) = \sum_{i=-k}^{\infty} f_i z^i.$$

Let  $F_i$  be the subspace in  $V$  constituted by the components  $f_i$  of all solutions  $(f_{-k}, f_{-k+1}, \dots, f_{m-1})$  to the system  $\Psi f = 0$ . Then

$$F_{-k} \subseteq F_{-k+1} \subseteq \dots \subseteq F_{m-1} \subseteq V.$$

**Flag configuration:** the set of such flags  $F^\gamma, \gamma \in \Gamma$

**COROLLARY:**  $f$  is a section iff  $f_i \in F_i^\gamma$  for  $\forall \gamma \in \Gamma$ .

# Matrix divisors and $\infty$ -dimensional Lie algebras

**DEFINITION:** Given a matrix divisor  $\Psi$  we call the Lie algebra of meromorphic  $\mathfrak{g}$ -valued functions on  $\Sigma$  leaving invariant its sheaf of local sections by *endomorphism algebra* of  $\Psi$ , and denote it by  $\text{End}(\Psi)$ .

In terms of flag configurations:

Let  $\mathfrak{g} = \text{Lie}(G)$ . Given a flag  $F$  consider the following filtration of  $\mathfrak{g}$ . Remind that  $V$  is a  $\mathfrak{g}$ -module. For every  $i$  consider a subspace  $\tilde{\mathfrak{g}}_i \subseteq \mathfrak{g}$  such that  $\tilde{\mathfrak{g}}_i F_j \subseteq F_{j+i}$  for every  $j$ . Then  $\tilde{\mathfrak{g}}_i \subseteq \tilde{\mathfrak{g}}_{i+1}$  because  $\tilde{\mathfrak{g}}_i F_j \subseteq F_{j+i} \subseteq F_{j+i+1}$ , and  $[\mathfrak{g}_i, \mathfrak{g}_k] \subseteq \mathfrak{g}_{i+k}$ .

**LEMMA:**  $\text{End}(\Psi)$  is the subspace of the space of all  $\mathfrak{g}$ -valued meromorphic functions on  $\Sigma$  satisfying the following requirement for every  $\gamma \in \Gamma$ . Let  $L$  be such a function, and  $L(z) = \sum L_i z^i$  be its Laurent expansion at a  $\gamma \in \Gamma$ . Then  $L_i \in \tilde{\mathfrak{g}}_i, \forall i$ .

## Example: Lax operator algebras

Assume  $\Psi$  to be in diagonal form:  $\Psi_\gamma = z^{h_\gamma}$ ,  $\mathfrak{g} = \mathfrak{g}_{-k}^\gamma \oplus \dots \oplus \mathfrak{g}_k^\gamma$   
be the  $\mathbb{Z}$ -grading corresponding to  $h_\gamma$ ,  $\tilde{\mathfrak{g}}_j = \bigoplus_{i \leq j} \mathfrak{g}_i$  be the  
corresponding filtration:  $\tilde{\mathfrak{g}}_{-k} \subset \dots \subset \tilde{\mathfrak{g}}_{k-1} \subset \tilde{\mathfrak{g}}_k = \mathfrak{g}$ .

Let  $L$  be a meromorphic  $\mathfrak{g}$ -valued function s.t. in a  
neighborhood of any  $\gamma \in \Gamma$   $L(z) = \sum_{i=1}^{\infty} L_i^\gamma z^i$ , and

- $L_i^\gamma \in \tilde{\mathfrak{g}}_i^\gamma, \forall \gamma \in \Gamma$  ;
- $L$  is holomorphic outside  $\Gamma$  and one more fixed finite set in  $\Sigma$ .

$L$  is referred to as **Lax operator algebra**.

# Matrix divisors and Hitchin systems

Consider filtrations  $\dots \subset \mathfrak{g}_i^\gamma \subset \mathfrak{g}_{i+1}^\gamma \subset \dots$  as flags in  $\mathfrak{g}$ .

**COROLLARY:** Any  $L \in \text{End}(\Psi)$  is a section of the divisor  $\text{Ad } \Psi$ .

Given a divisor  $D = (\omega)$  where  $\omega$  is a holomorphic 1-form on  $\Sigma$ , consider the sections  $L \in \text{End}(\Psi)$  holomorphic everywhere except at the divisor  $D$ . They are called **Higgs fields**.

Let  $L$  be a Higgs field,  $\chi$  be an invariant polynomial on  $\mathfrak{g}$ . Then  $\chi(L)$  is a meromorphic function on  $\Sigma$  holomorphic everywhere except at  $D$ . Scalar invariants of such functions (say, residues at the points in  $D$ , or coefficients of expansions over certain base) are called **Hitchin Hamiltonians**. This story has different continuations to Separation of Variables, Lax operator approach, Hamiltonian mechanics, and inverse scattering method.



Thank you!  
Congratulations to Nikolai!