

# ON THE STRUCTURE OF SOME UNITARY NIL $K_1$ -GROUPS.

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## $\Lambda$ -Hermitian and $\Lambda$ -unitary matrices

Let  $R = (R, \lambda, \Lambda)$  be a unitary ring, where  $R$  is an associative ring with 1, equipped with an involution  $x \rightarrow \bar{x}$ ,  $\lambda \in C(R)$  such that  $\lambda \cdot \bar{\lambda} = 1$ ,  $\Lambda \leq (R, +) : \bar{x}\Lambda x \subseteq \Lambda \forall x \in R$  and  $\Lambda_{min} \leq \Lambda \leq \Lambda_{max}$ , where  $\Lambda_{min} = \{x - \lambda\bar{x}, x \in R\}$ , and  $\Lambda_{max} = \{x \in R : x = -\lambda\bar{x}\}$ .

$(R, \bar{\lambda}, \bar{\Lambda})$ , where  $\bar{\Lambda} = \{\bar{x}, x \in \Lambda\}$ , is a unitary ring also.

Extend the involution to the matrix ring  $M_r(R) : (a_{ij})^* = (\bar{a}_{ji})$ .

Definition 1. A matrix  $a = (a_{ij}) \in M_r(R)$  is said to be  $\Lambda$ -Hermitian, if  $a = -\lambda a^*$  and  $a_{ij} \in \Lambda$ .

We set  $I_r^\lambda = \begin{pmatrix} 0 & e_r \\ \lambda e_r & 0 \end{pmatrix}$ , where  $e_r$  is the identity matrix of degree  $r$ .

Definition 2. Let  $a, b, c, d \in M_r(R)$ . A matrix  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is said to

be  $\Lambda$ -unitary if  $\alpha^* I_r^\lambda \alpha = I_r^\lambda$ , and the diagonal entries of the matrices  $a^*c$  and  $b^*d$  are contained in  $\Lambda$ .

The set  $U_{2r}^\lambda(R, \Lambda)$  of all  $\Lambda$ -unitary matrices of degree  $2r$  forms a group; it is called the (hyperbolic)  $\Lambda$ -unitary group.

## Unitary $K_1$ -functor

Denote by  $EU_{2r}^\lambda(R, \Lambda)$  the subgroup of  $U_{2r}^\lambda(R, \Lambda)$ , generated by all the matrices of the form  $H(a) = \text{diag}(a, (a^*)^{-1})$  (hyperbolic matrix),

$T_{12}(b) = \begin{pmatrix} e & b \\ 0 & e \end{pmatrix}$ ,  $T_{21}(c) = \begin{pmatrix} e & 0 \\ c & e \end{pmatrix}$ , where  $a \in E_r(R)$ ,  $b$  is

$\bar{\Lambda}$ -Hermitian,  $c$  is  $\Lambda$ -Hermitian. The group  $EU_{2r}^\lambda(R, \Lambda)$  is called the elementary  $\Lambda$ -unitary group.

We set  $U^\lambda(R, \Lambda) = \cup U_{2r}^\lambda(R, \Lambda)$ , and  $EU^\lambda(R, \Lambda) = \cup EU_{2r}^\lambda(R, \Lambda)$ .

By the unitary analog of the Whitehead lemma,  $EU^\lambda(R, \Lambda)$  coincides with the commutator subgroup of the group  $U^\lambda(R, \Lambda)$ . In particular, the (abelian) group  $K_1 U^\lambda(R, \Lambda) = U^\lambda(R, \Lambda) / EU^\lambda(R, \Lambda)$  is well defined. The class of a matrix  $\alpha \in U^\lambda(R, \Lambda)$  in the group  $K_1 U^\lambda(R, \Lambda)$  is denoted by  $[\alpha]$ . As a result, we obtain a unitary  $K_1$ -functor  $K_1 U$  acting from the category of unitary rings to the category of abelian groups.

## Unitary Bass' nilpotent $K_1$ -group

Definition 3. The kernel of the (splitting) group epimorphism  $K_1 U^\lambda(R[X], \Lambda[X]) \rightarrow K_1 U^\lambda(R, \Lambda)$ , induced by the mapping  $(R[X], \Lambda[X]) \rightarrow (R, \Lambda) : X \rightarrow 0$ , we denote by  $NK_1 U^\lambda(R, \Lambda)$  and call it unitary Bass' nilpotent  $K_1$ -group.

Proposition 1 ([3], Theorem 1). Every element of the group  $NK_1 U^\lambda(R, \Lambda)$  has a representative of the form

$$\begin{pmatrix} e_r - aX & bX \\ -cX^n & e_r + a^*X + \dots + (a^*)^n X^n \end{pmatrix} (\in U_{2r}^\lambda(R[X], \Lambda[X]))$$

where  $a, b, c \in M_r(R)$  satisfy the following conditions:

- 1) the matrices  $b$  and  $ab$  are  $\bar{\Lambda}$ -hermitian and also  $ab = ba^*$ ;
- 2) the matrices  $c$  and  $ca$  are  $\Lambda$ -hermitian and also  $ca = a^*c$ ;
- 3)  $bc = a^{n+1}$  and  $cb = (a^*)^{n+1}$  for some natural numbers  $r$  and  $n$ .

The conditions 1)-3) are necessary and sufficient conditions for the matrix in Proposition 1 to be  $\Lambda[X]$ -unitary.

The statement is a unitary analog of the Higman linearization trick.

# Unipotent representatives of the group $NK_1 U^\lambda(R, \Lambda)$

Proposition 2 ([4], Theorem 2). Let be matrices  $a, b, c \in M_r(R)$  satisfy the conditions 1)-3) of Proposition 1 for some positive integers  $r$  and  $n$ .

Then, in the notations of Proposition 1, the following statements hold:

1) for  $n = 1$ , matrix

$$\begin{pmatrix} e_r - aX & bX \\ -cX & e_r + a^*X \end{pmatrix} = e_{2r} - \begin{pmatrix} a & -b \\ c & -a^* \end{pmatrix} X (\in U_{2r}^\lambda(R[X], \Lambda[X]))$$

is a unipotent, where  $\begin{pmatrix} a & -b \\ c & -a^* \end{pmatrix}$  is a nilpotent matrix of nilpotency degree 2;

2) for  $n \geq 2$ , matrix

$$\begin{pmatrix} e_r - aX & bX \\ -cX^n & e_r + a^*X + \dots + (a^*)^n X^n \end{pmatrix} (\in U_{2r}^\lambda(R[X], \Lambda[X]))$$

is a unipotent if and only if the matrix  $e_r - aX$  is unipotent; in this case, the class of this matrix in the group  $NK_1 U^\lambda(R, \Lambda)$  coincides with the class of the hyperbolic matrix  $H(e_r - aX)$ .

# Unitary Nil $K_1$ -groups

Theorem. Let  $\alpha$  be a nonzero matrix in  $M_{2r}(R)$ . If  $e_{2r} - \alpha X^m \in U_{2r}^\lambda(R, \Lambda)$  for some natural number  $m$  then  $\alpha$  is a nilpotent matrix of nilpotency degree 2; in this case, the matrix  $\alpha$  has the form  $\begin{pmatrix} a & -b \\ c & -a^* \end{pmatrix}$ , where matrices  $a, b, c \in M_r(R)$  satisfy the conditions 1)-3) of Proposition 1.

We denote by  $UnipK_1U^\lambda(R, \Lambda)$  the subgroup of  $NK_1U^\lambda(R, \Lambda)$  generated by all elements of the following two types:

- 1)  $[e_{2r} - \alpha X^m]$  for some natural numbers  $r, m$ , where  $\alpha \in M_{2r}(R)$  is a nilpotent matrix of nilpotency degree 2;
- 2)  $[H(e_r - aX)]$  for some natural number  $r$ , where  $a \in M_r(R)$  is a nilpotent matrix.

Moreover we denote by  $Unip_1K_1U^\lambda(R, \Lambda)$  (respectively,  $Unip_2K_1U^\lambda(R, \Lambda)$ ) the subgroup of  $UnipK_1U^\lambda(R, \Lambda)$  generated by all elements of the first type (respectively, second type).

# Properties of the unitary Nil $K_1$ -groups, I

Corollary 1. Let  $n$  be a positive integer such that  $n = n \cdot 1 = 0$  in the ring  $R$ , where  $1$  is the identity element of  $R$ . Then the group  $Unip_1 K_1 U^\lambda(R, \Lambda)$  is of a  $n$ -torsion group.

Corollary 2. If  $p$  is a prime number such that  $p^k = 0$  in  $R$  for some natural number  $k$ , then  $Unip_1 K_1 U^\lambda(R, \Lambda)$  is a  $p$ -group.

Corollary 3. If  $n$  is an invertible element of  $R$ , then the group  $Unip_1 K_1 U^\lambda(R, \Lambda)$  is a uniquely divisible by  $n$ .

In unitary (algebraic)  $K$ -theory for every unitary ring  $R$  there exist two standard group homomorphisms: the hyperbolic homomorphism  $H : K_1(R) \rightarrow K_1 U^\lambda(R, \Lambda) : [a] \rightarrow [H(a)]$  and the forgetful homo  $F : K_1 U^\lambda(R, \Lambda) \rightarrow K_1(R) : \alpha \text{ mod } EU^\lambda(R, \Lambda) \rightarrow \alpha \text{ mod } E(R)$ .

Corollary 4. Under the condition of the Corollary 3, the group  $Unip_2 K_1 U^\lambda(R, \Lambda)$  is a divisible by  $n$ . Moreover if either the homomorphism  $H$  or the homomorphism  $F$  is a monomorphism, then the group  $Unip_2 K_1 U^\lambda(R, \Lambda)$  is a uniquely divisible by  $n$ .

## Properties of the unitary Nil $K_1$ -groups, II

Corollary 5. If  $R$  is a  $\mathbf{Q}$ -algebra, where  $\mathbf{Q}$  denotes the field of rational numbers, then  $Unip_1 K_1 U^\lambda(R, \Lambda)$  is a  $\mathbf{Q}$ -vector space. Moreover if either the homomorphism  $H$  or the homomorphism  $F$  is a monomorphism, then the group  $Unip_2 K_1 U^\lambda(R, \Lambda)$  is a  $\mathbf{Q}$ -vector space also.

Corollary 6. Under the condition of the Corollary 5, the groups  $Unip_1 K_1 U^\lambda(R, \Lambda)$  and  $Unip_2 K_1 U^\lambda(R, \Lambda)$  are a divisible groups. In particular, these groups are a direct summand both the group  $NK_1 U^\lambda(R, \Lambda)$  and the group  $Unip K_1 U^\lambda(R, \Lambda)$ .

These properties are unitary analogues well-known properties of the Bass' nilpotent  $K_1$ -group of a ring in algebraic  $K$ -theory [5].



## Example, I

In conclusion I represent a linearization form of the unitary unipotent matrix  $e_{2r} - \begin{pmatrix} \mathbf{a} & -\mathbf{b} \\ \mathbf{c} & -\mathbf{a}^* \end{pmatrix} X^2$ , where the matrices  $\mathbf{b}$  and  $\mathbf{ab}$  are  $\bar{\Lambda}$ -Hermitian, the matrices  $\mathbf{c}$  and  $\mathbf{ca}$  are  $\Lambda$ -Hermitian, and  $\mathbf{bc} = \mathbf{a}^2$ . It is the following matrix

$$\Gamma = \begin{pmatrix} \mathbf{e}_{8r} - \alpha X & \beta X \\ -\gamma X^7 & \mathbf{e}_{8r} + \alpha^* X + \dots + (\alpha^*)^7 X^7 \end{pmatrix},$$

$$\alpha = \begin{pmatrix} 0 & -a & e & 0 & e & 0 & 0 & 0 \\ -e & 0 & 0 & e & 0 & e & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & e & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & e \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

## Example, II

$$\beta = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & -b \\ 0 & 0 & 0 & 0 & 0 & 0 & -b & 0 \\ 0 & 0 & 0 & 0 & 0 & -b & -ab & 0 \\ 0 & 0 & 0 & 0 & -b & 0 & 0 & -b \\ 0 & 0 & 0 & -b & 0 & b & 0 & 0 \\ 0 & 0 & -b & 0 & b & 0 & 0 & 0 \\ 0 & -b & -ab & 0 & 0 & 0 & 0 & 0 \\ -b & 0 & 0 & -b & 0 & 0 & 0 & 0 \end{pmatrix},$$

## Example, III

$$\gamma = \begin{pmatrix} 0 & -ca^3 & ca^2 & 0 & ca^2 & 0 & 0 & -ca^2 \\ -ca^3 & 0 & 0 & ca^3 & 0 & ca^3 & -ca^2 & 0 \\ ca^2 & 0 & 0 & -ca^2 & 0 & -ca^2 & ca & 0 \\ 0 & ca^3 & -ca^2 & 0 & -ca^2 & 0 & 0 & ca^2 \\ ca^2 & 0 & 0 & -ca^2 & 0 & -ca^2 & ca & 0 \\ 0 & ca^3 & -ca^2 & 0 & -ca^2 & 0 & 0 & ca^2 \\ 0 & -ca^2 & ca & 0 & ca & 0 & 0 & -ca \\ -ca^2 & 0 & 0 & ca^2 & 0 & ca^2 & -ca & 0 \end{pmatrix}.$$

The matrices  $\beta$  and  $\alpha\beta$  are  $\bar{\Lambda}$ -Hermitian such that  $\alpha\beta = \beta\alpha^*$ , the matrices  $\gamma$  and  $\gamma\alpha$  are  $\Lambda$ -Hermitian such that  $\gamma\alpha = \alpha^*\gamma$ , and  $\beta\gamma = \alpha^8$ .

# References

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